

November 19, 2025

UCSB Financial Management Modernization

Program Update Town Hall

Agenda

- Opening Remarks
- Program Update
- Budgeting Update
- Update on Reconciliation Processes
- FinHub: Updates and Common Questions
- Training: New Resources
- Next Steps
- Q&A

Opening Remarks

Matt Erickson

Program Update

Matt Erickson

FMM Overall Status

Summary

Help Desk: 31 known issues published; 24 resolved to date

Defects: 71 Open Critical or High Bugs

Training: 90% of all required assigned training completed

Cutover: Cutover 99% of cutover tasks are complete

Top Issues

Award Setup Time: Award setup takes longer.

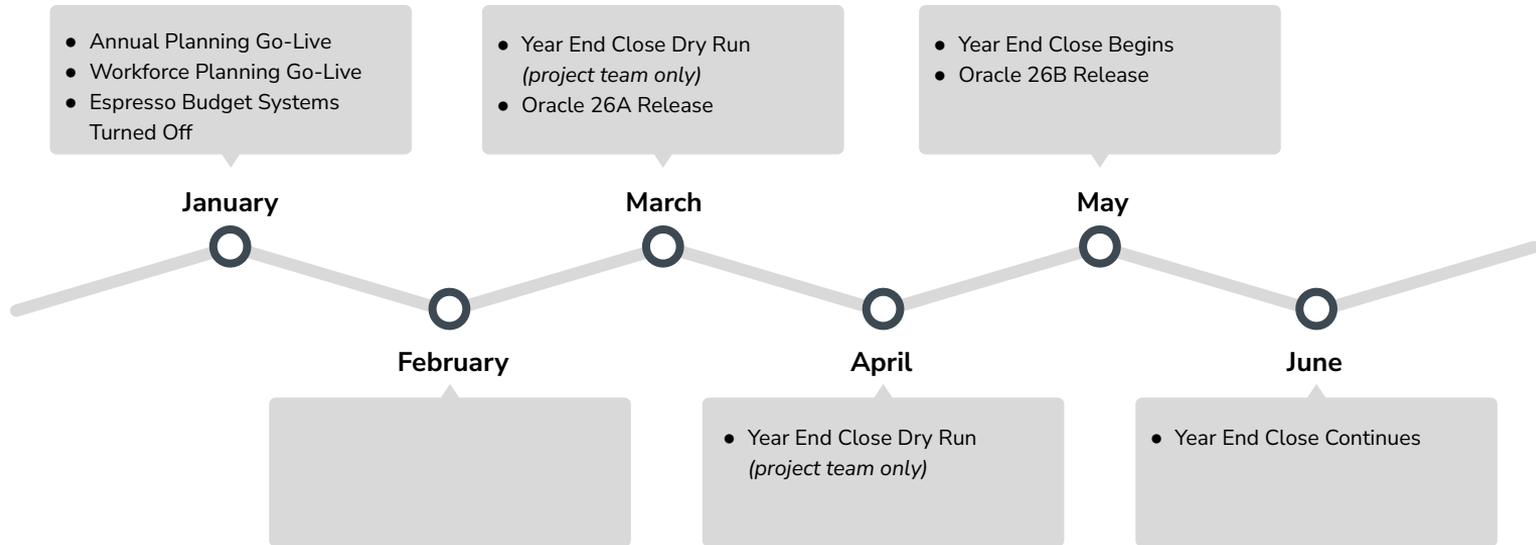
Expenditure Dates/Boundary Systems: Since the new system enforces stricter transaction validations and business rules than prior systems, department processes and systems will need additional updates to ensure timely transaction handling.

Training: Ongoing need for training content as features and reports become available.

Upcoming Milestones

| Week | Milestone |
|-----------|----------------------------------------|
| December | Account Receivable Launch (FinHub) |
| December | Budget Planning Launch (FinHub) |
| TBD | Procurement Launch (FinHub) |
| TBD | Travel & Entertainment Launch (FinHub) |
| January 1 | Annual Plan Go-Live Budget 2027 |
| January 1 | Workforce Planning Go-Live |

Major 2026 Milestones



September 2025 Post Go-Live Survey

Your Feedback, Our Commitment

*Survey open from 9/18 - 10/3
116 responses, sent to ~850 users
(13.6% participation)*

Need for:

- Additional training and support
- Additional communication

Feelings of:

- Increased confusion and burnout
- Fragmented and ineffective issue reporting

Action Plans

Training

- FinHub classes, videos, toolkit, FAQs
- Additional resources and guides for OFC and EPM

Communication

- Better use of the FMM Newsletter; targeted communications to faculty, etc.
- Updated Faculty webpage on the FMM website

Action Plans (con.)

Increased Confusion and Burnout

- Create Communities of Practice to build networks of users
- Business Officer Roundtable (December/January)

Fragmented and Ineffective Issue Reporting

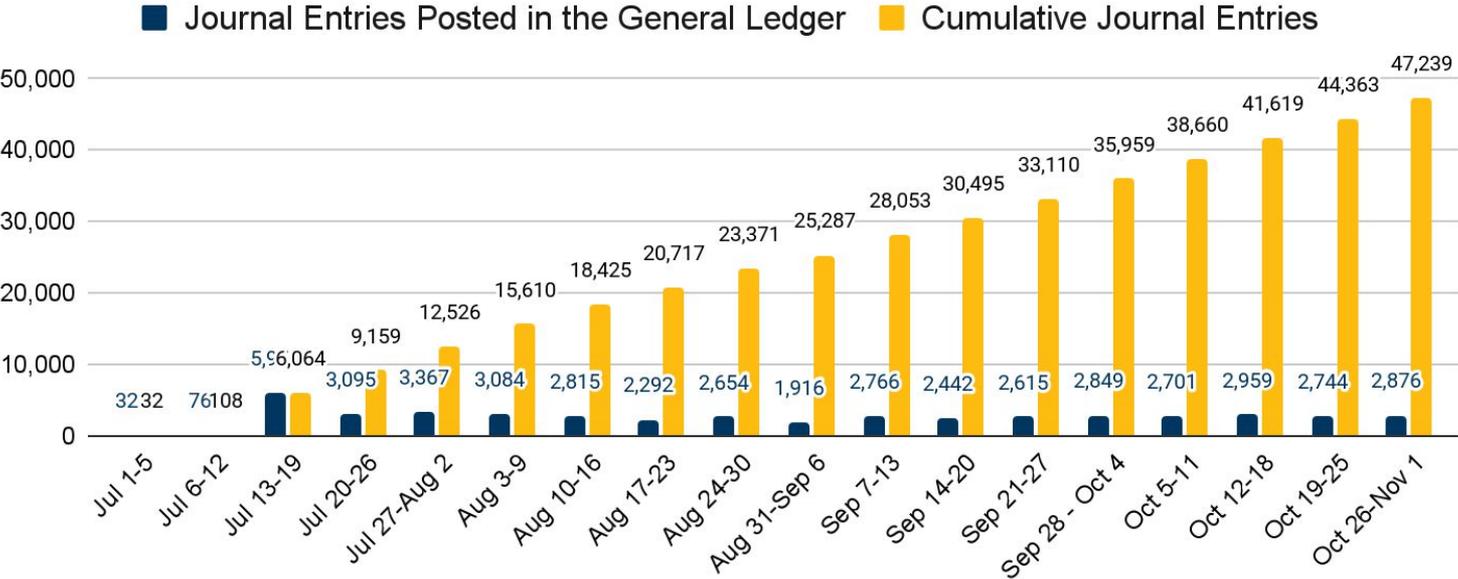
- Additional focus on resolving aging tickets
- Weekly PGM/Gateway meetings to resolve issues

Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Journal Entries Posted in the General Ledger By Week

Status as of 11/1/2025

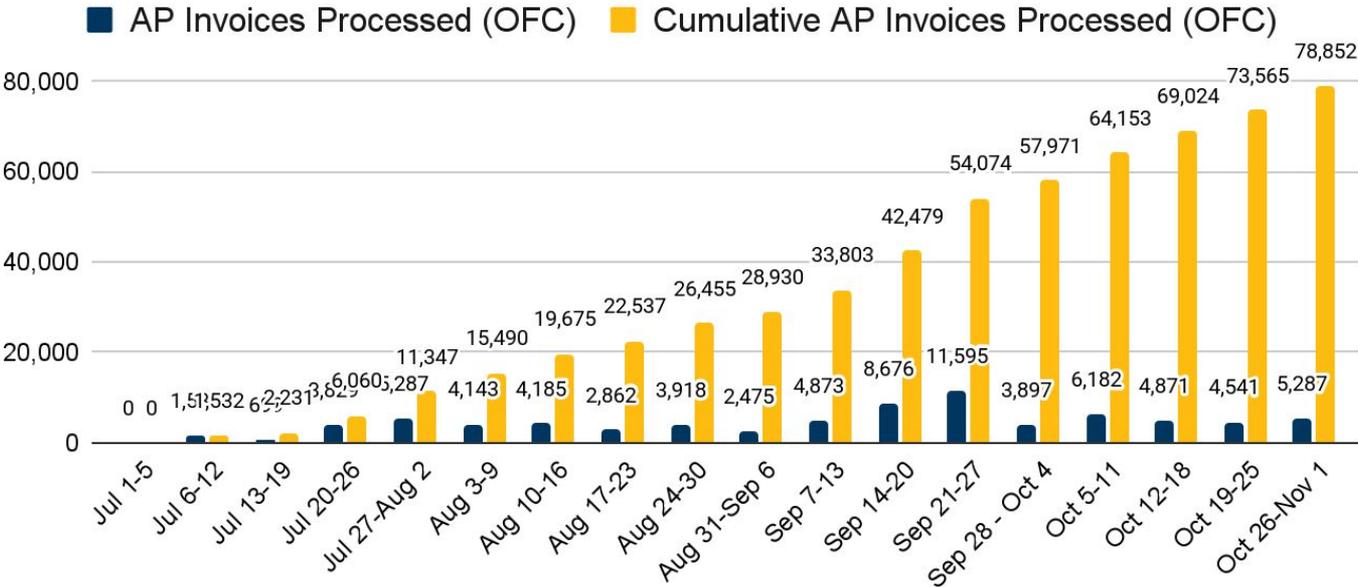


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

AP Invoices Processed in OFC

Status as of 11/1/2025

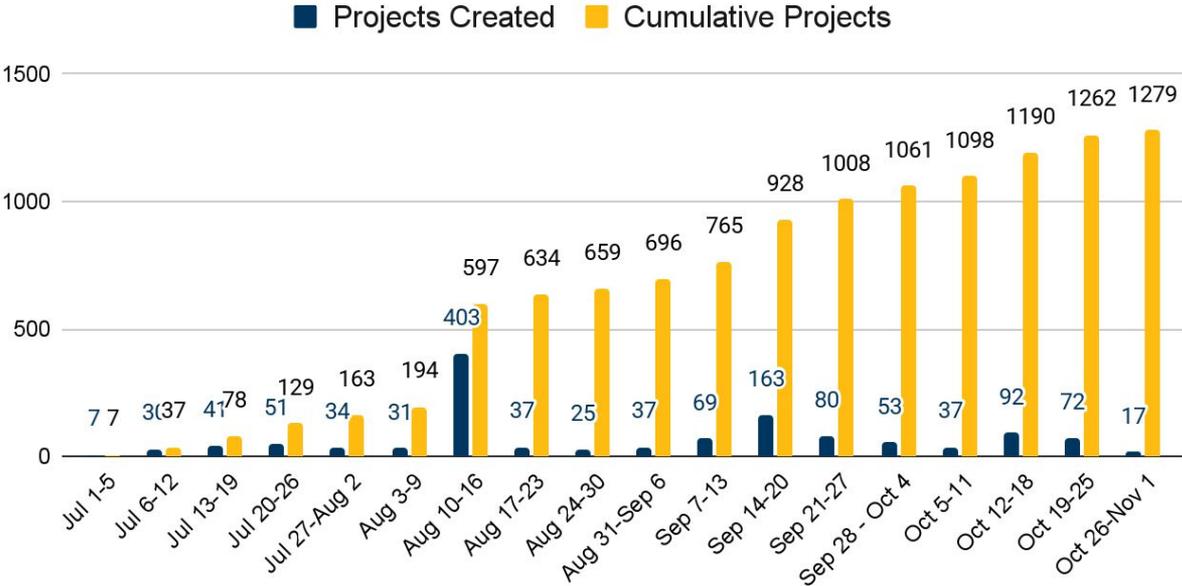


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Projects Created in Oracle Financials Cloud By Week

Status as of 11/1/2025

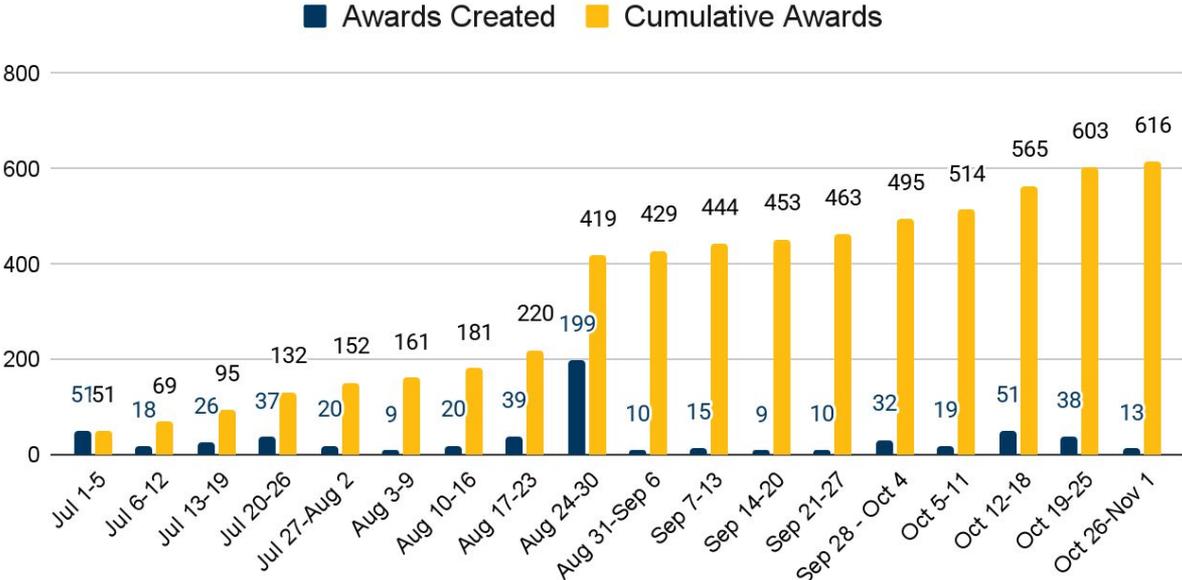


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Awards Created in Oracle Financials Cloud By Week

Status as of 11/1/2025; Oracle PGM data using the created date for the date range

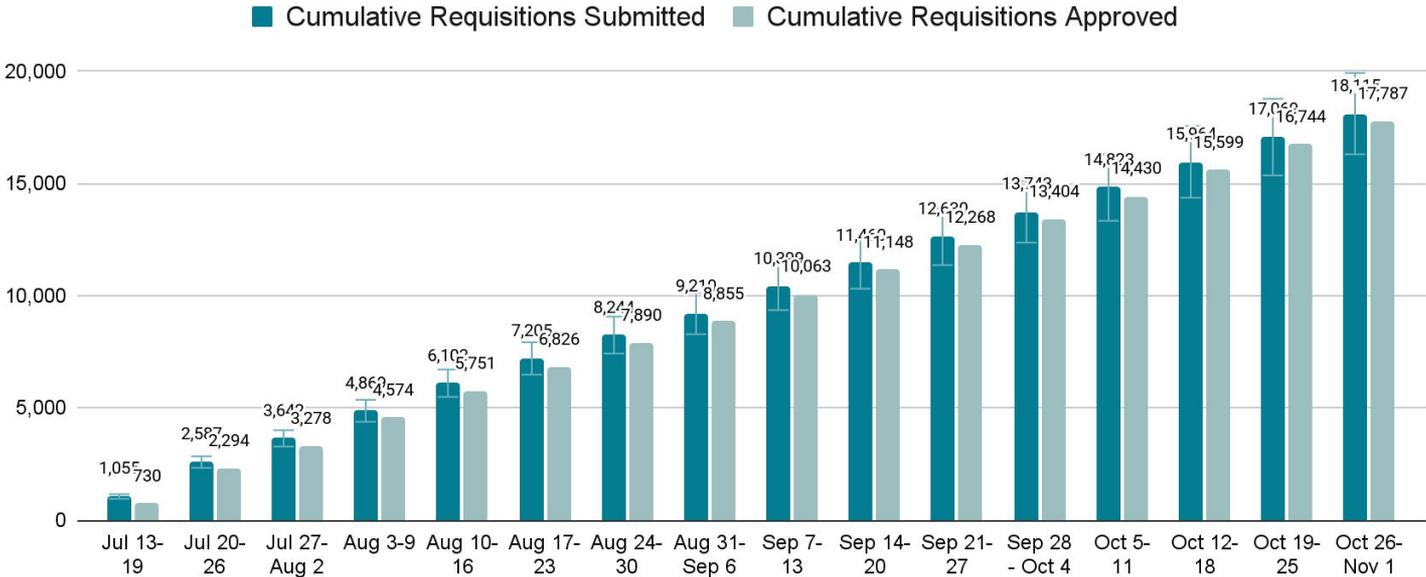


Transaction Volume (July 1 - October 31, 2025)

Gateway

Requisitions in Gateway By Week

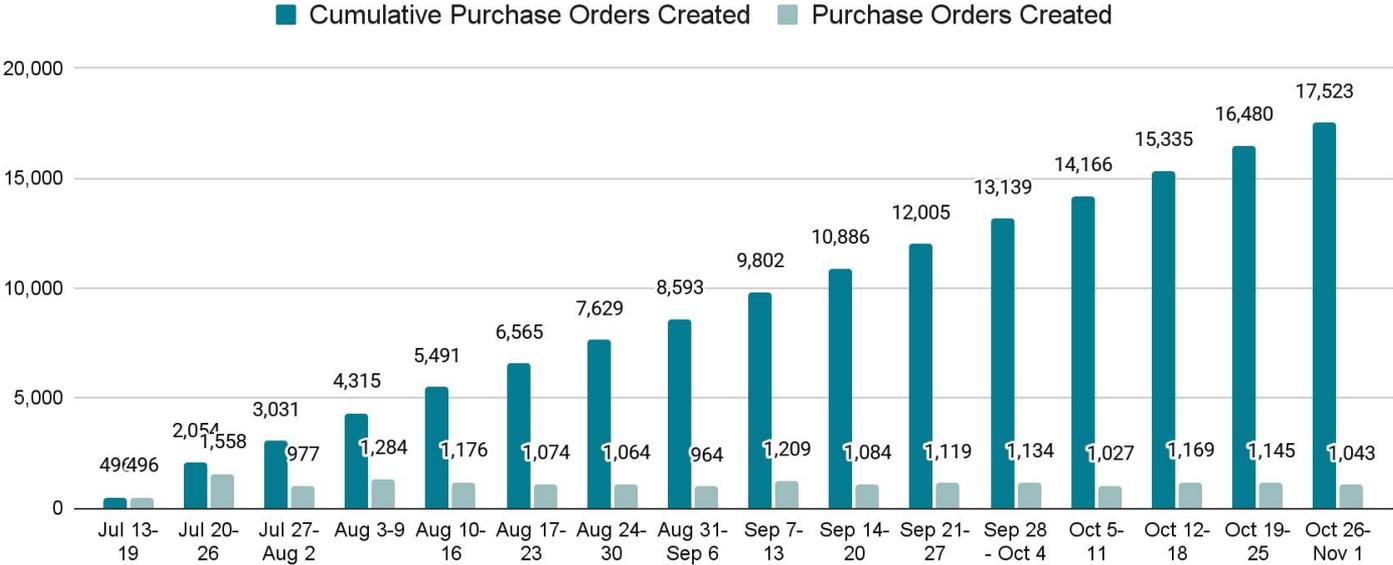
Status as of 11/1/2025



Transaction Volume (July 1 - October 31, 2025) Gateway

Purchase Orders Created in Gateway By Week

Status as of 11/1/2025

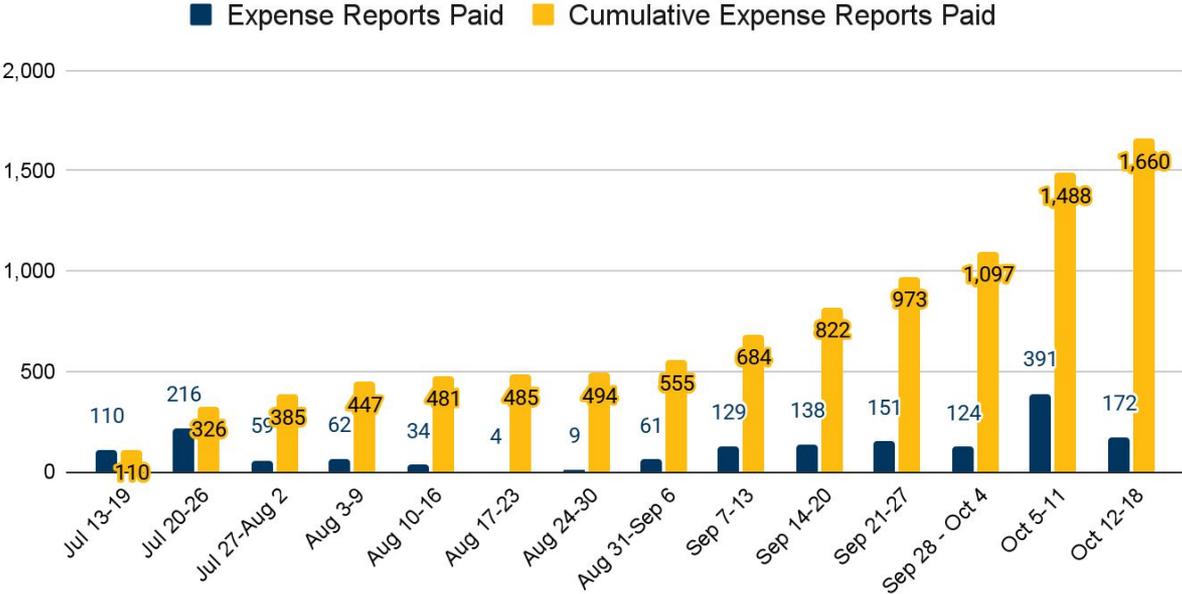


Transaction Volume (July 1 - October 31, 2025)

Concur

Expense Reports in Concur By Week

Status as of 10/20/2025

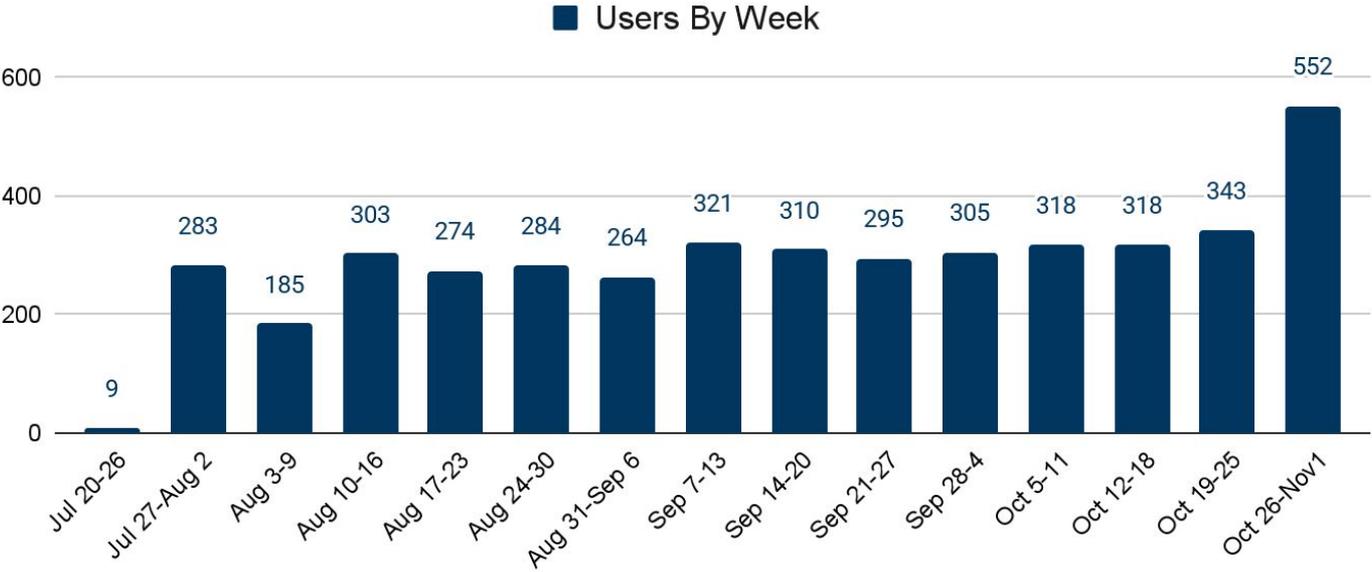


Transaction Volume (July 1 - October 31, 2025)

FinHub

FinHub: Users By Week

Status as of 11/1/2025

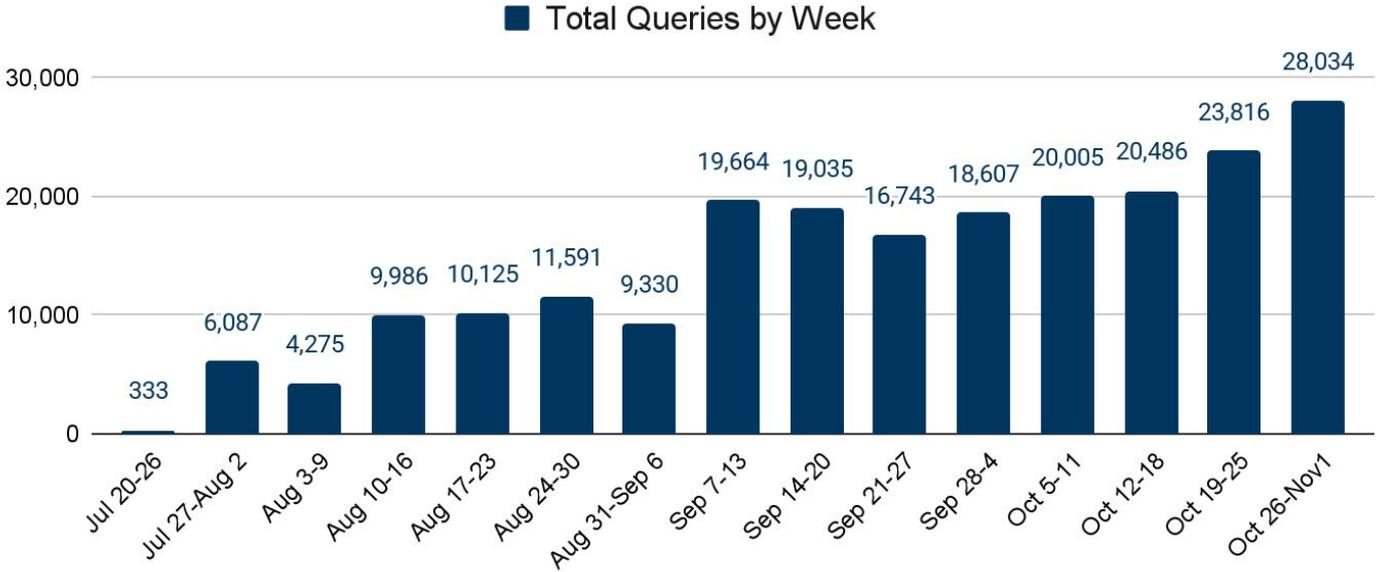


Transaction Volume (July 1 - October 31, 2025)

FinHub

FinHub: Total Queries By Week

Status as of 11/1/2025



Transaction Volume (as of November 14, 2025)

ServiceNow Tickets

| Catalog | Open Tickets | Open Tickets >7 days | Closed Since 6/30/25 |
|------------------------------------|--------------|----------------------|----------------------|
| FMM Universal Request ¹ | 141 | 64 | 986 |
| Security & Controls | 44 | 18 | 1,210 |
| FinHub | 73 | 35 | 422 |
| PGM | 599 | 511 | 712 |
| EPM | 22 | 15 | 46 |
| Procurement ² | 314 | 215 | 1,282 |
| Concur ² | 371 | 192 | 3,632 |

¹ Includes support tickets, defects, and wish list items that require more time for resolution

² Includes tickets unrelated to OFC implementation

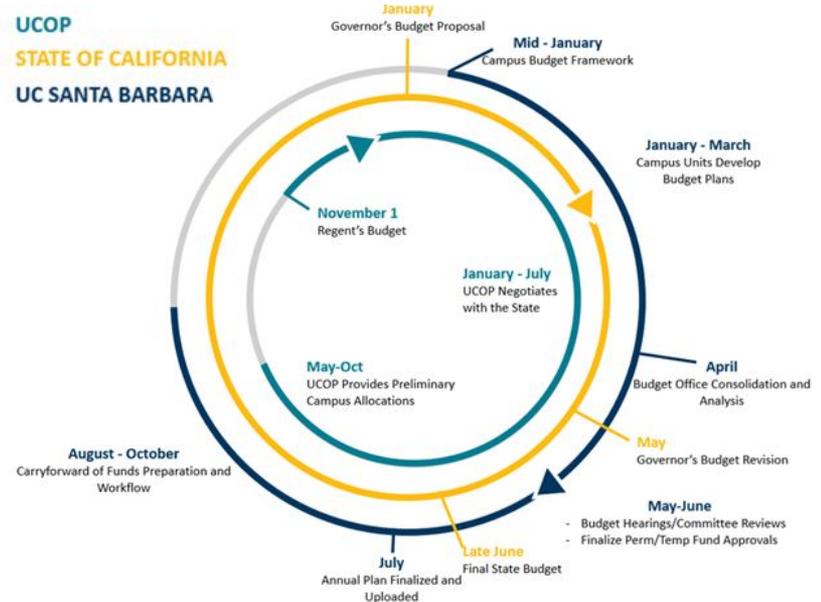
Budgeting Update

Michael McGrogan & Bernice Yeh

Annual Plan

Annual Budget Plan

- An “**Annual Plan**” or **budget cycle** will be introduced in January.
- Annual Plans will **outline a department’s expected use of resources for the upcoming fiscal year**. Establishing an expenditure plan and identifying the resources to back these plans.
- This will be a proactive planning process, as opposed to the current reactive one.
- Plan by position for all employees on all non-sponsored funds (perm & temp).
- Plan for anticipated non-payroll expenses such as travel or services.



General Budget Concept Changes

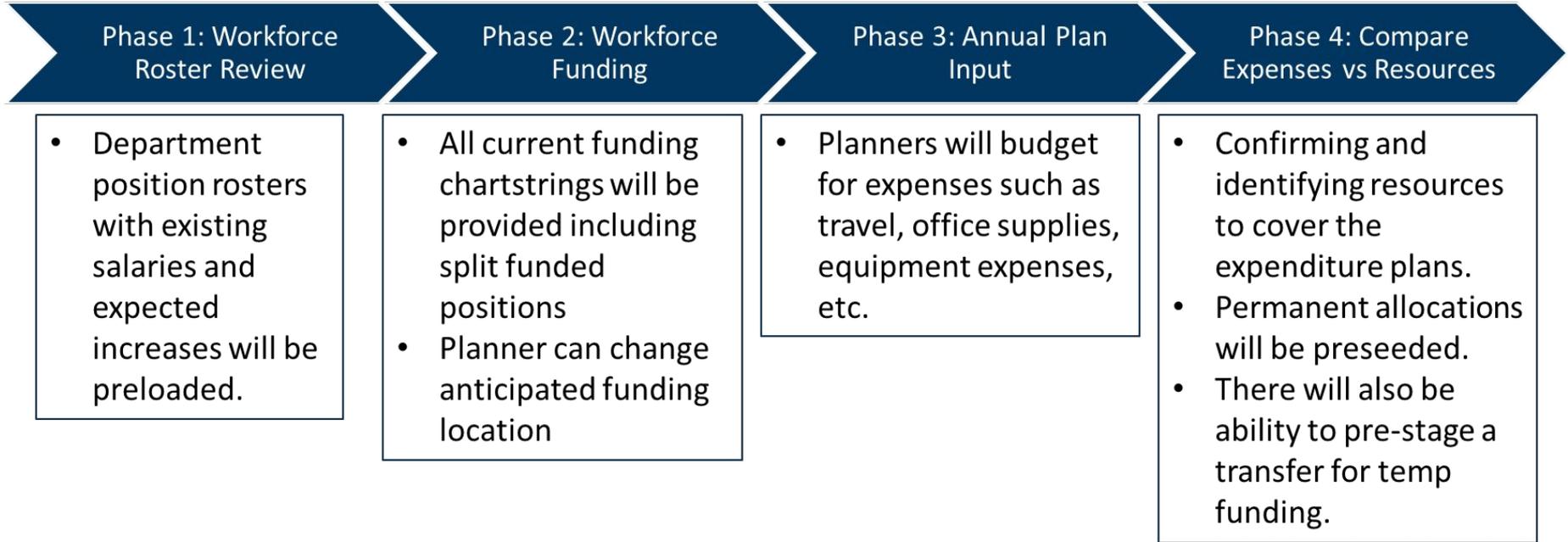
UCSB's planning year will be divided into two major EPM-driven cycles:

1. Workforce & Annual Plan Cycle (January - May) – defines the forward-looking budget for the next fiscal year (FY27)
2. Carryforward of Funds (CFOF) Cycle (September - October) – manages prior-year balances after year-end close

The two processes are separate but linked: Workforce + Annual Plan define the next-year operating plan; CFOF establishes the starting balance position once the year closes.

Annual Budget Plan

Planning process will be structured into **four** phases



Carryforward (August - September)

- **Fiscal Year Close** (June 30) – Actuals finalize and fund balances calculated
- **CFOF Preparation** (Aug) – Balances loaded to Beginning Balance Forward accounts
- **CFOF Workflow** (Sep-Oct) – Departments assign balances to purpose categories and provide justifications
 - Control Points endorse → Budget Office approves → Unassigned balances swept centrally
 - Reports and dashboards published campuswide in October

Summary Insights

UCSB's **Annual Plan** cycle transforms incremental budgeting into a structured, data-driven process:

- Starts with accurate position data (Workforce)
- Builds transparent operating budgets (Annual Plan)
- Ends with controlled reconciliation and proposed use of carryforward (CFOF)

Departments focus on accuracy and justification; Control Points ensure resources balance; Budget Office provides consolidated, reportable insights to leadership.

Carryforwards

Using the Budget v. Actuals Report

Old vs. New Carryforward Model

Becomes a “Below-the-Line” Resource, Not an In-Year Allocation

- **Previously:** Carryforward dollars were automatically added to this year’s spendable authority. Departments could spend both current-year and prior-year funds interchangeably.
- **New Model:** Provides visibility into prior-year sources vs. uses without blending it into this year’s operating budget.
- **Result:** In-year resources reflect only this year’s authorized allocations (Uses) and Resources.

Budget Terms

- **Beginning Balance Forward:** Prior year balance of Sources less Uses.
- **Carryforward:** Amount of Beginning Balance Forward **Available to Spend** in year. This amount can be different from beginning balance.
- When would the Beginning Balance Forward and Carryforward **NOT** be the same?
 - Department did not submit an approved plan for carryforwards
 - Carryforward adjustments by Control Points, etc.

Old vs. New Carryforward Model

Old Model

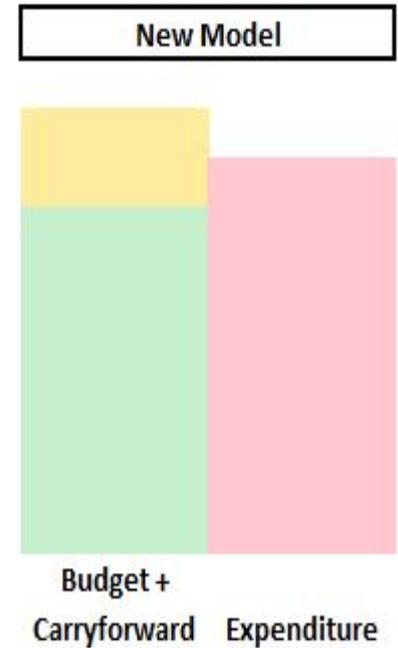
- Carryforward merged with current-year budgets
- Blurred operating and prior-year performance
- Difficult to track multi-year results

New Model

- Carryforward remains in its own account
- Current-year results are cleanly visible
- Carryforward offsets overspend in reporting

Carryforwards: Visualization

- Old model had no way to determine if a department was overspending their current year sources.
- New model distinguishes what portion of resources is in current year budget vs. carried forward from the prior year.
- Expenditures in the new model can exceed the in-year budget. The overspend will be offset by the carryforward.



Old Method: Example

Carryforward (Reappropriation) combined with July 1 Adjusted Budget

| Object Code | Cost Center | Project Code | Cost Type | Trans Date | Description / Additional Information | Ref no | Dept Ren No | AP Ref No | Voucher No | IE Code | Appropriation | Expenditure | Lien | R |
|------------------------------------------------------------------------------|-------------|-------------------|-----------|------------|--------------------------------------|-----------------|-------------|-----------|----------------------|------------|---------------|----------------------|------|---|
| | | | | 20240701 | REAPPROPRIATION | | | - - | 01D50 | 12 | 20,047.90 | | | |
| | | | | 20240701 | JULY 1 ADJ. BUDGET | | | - - | JULY | 11 | -786,354.00 | | | |
| 1940 | | | | 20240706 | JUL24 B2 Payroll | 240706B2X | | - - | | 53 | | -7,257.20 | | |
| 1960 | | | | 20240706 | JUL24 B2 Payroll | 240706B2X | | - - | | 53 | | 86.47 | | |
| 1950 | | | | 20240731 | JUL24 M0 Payroll | 240731M0X | | - - | | 53 | | 476.28 | | |
| 1950 | | | | 20240720 | JUL24 B3 Payroll | 240720B3X | | - - | | 53 | | 670.56 | | |
| 1950 | | | | 20240706 | JUL24 B2 Payroll | 240706B2X | | - - | | 53 | | 2,618.00 | | |
| 1100 | | | | 20240706 | JUL24 B2 Payroll | 240706B2X | | - - | | 53 | | 2,908.52 | | |
| 1100 | | | | 20240706 | JUL24 B2 Payroll | 240706B2X | | - - | | 53 | | 9,911.60 | | |
| 1100 | | | | 20240720 | JUL24 B3 Payroll | 240720B3X | | - - | | 53 | | 15,303.04 | | |
| 1100 | | | | 20240731 | JUL24 M0 Payroll | 240731M0X | | - - | | 53 | | 27,122.72 | | |
| View Object Code Summary for Sub Account 1 View Summary by Sub for Account | | | | | | | | | | | -766,306.10 | 51,839.99 | 0.00 | |
| Cumulative Totals for | | As of Ledger Date | | | Cum Appropriation | Cum Expenditure | % Committed | | Cum Balance Exc Lien | Total Lien | | Cum Balance Inc Lien | | |
| | | 202407 | | | -766,306.10 | 51,839.99 | 6.76% | | -714,466.11 | 0.00 | | -714,466.11 | | |
| Comments for reconciliation of LAFS | | | | | | | | | | | | | | |

New Method: Example

Carryforward (Reappropriation) not combined with July 1 Budget

GL Budget Drill - Budgetary Control

Run by: mmcrogan
Time run: 11/18/2025 9:43:36 PM

| Budget Year | Budget Period | Entity | Account | Account Desc | Fund | Project | Purpose | Program | Activity | Campus Commitment | Transaction Type | Transaction Num | Activity Type | Budget Type | ADJUST_BUDGET | Budget Activity |
|-------------|---------------|--------|---------|------------------------|-------|---------|---------|---------|----------|-------------------|------------------------------------------|----------------------------------|---------------|-------------|---------------|---------------------|
| 2026 | Oct-2025 | 1811 | 773003 | Available Carryforward | 13007 | 0000000 | 72 | 000 | 000000 | 00000 | Enterprise Performance Management budget | TEMP_TRXManual12_31102025_184452 | Reservation | Temporary | \$309,174.59 | \$309,174.59 |

GL Budget Drill - Budgetary Control

Run by: mmcrogan
Time run: 11/18/2025 9:42:19 PM

| Budget Year | Budget Period | Entity | Account | Account Desc | Fund | Project | Purpose | Program | Activity | Campus Commitment | Transaction Type | Transaction Num | Activity Type | Budget Type | INITIALIZE_BUDGET | Budget Activity |
|-------------|---------------|--------|---------|---------------------------------|-------|---------|---------|---------|----------|-------------------|------------------------------------------|-------------------------------------|---------------|-------------|-------------------|-----------------------|
| 2026 | Jul-2025 | 1811 | 740003 | RA-Recurring Central Allocation | 19900 | 0000000 | 00 | 000 | 000000 | 00000 | Enterprise Performance Management budget | Initial_Perm_Budget_02072025_171104 | Reservation | Permanent | (\$991,693.00) | (\$991,693.00) |

Income Statement Style

“Below the Line” Layout

| Category | Example | Treatment |
|--------------------------------|---------------------------------|-----------------------|
| Revenues/Resources | Allocations, Fees, Recharge | Above the Line |
| Expenses/Uses | Payroll, Operating Costs | Above the Line |
| Net Operating | (Surplus) / Deficit | — |
| Carryforward / Beg Bal Forward | Prior-Year Fund Balance | Below the Line |
| Ending Net Position | Operating + Carryforward | — |

| Account Type | Account Group | Account SubGroup | 2026 Total Budget | 2026 Actuals YTD | 2026 Variance YTD (\$) | 2026 Variance YTD (%) |
|----------------------------------------|---------------------------------|---------------------------|-------------------------|-------------------------|-------------------------|-----------------------|
| Total Sources | Total Transfers | Campus Support | (\$7,120,750.94) | (\$7,120,750.94) | \$0.00 | 0.00% |
| | | Internal Transfers | (\$1,491.46) | (\$1,491.46) | \$0.00 | 0.00% |
| | Total Transfers Total | | (\$7,122,242.40) | (\$7,122,242.40) | \$0.00 | 0.00% |
| Total Sources Total | | | (\$7,122,242.40) | (\$7,122,242.40) | \$0.00 | 0.00% |
| Total Uses | Total Compensation | Salaries & Wages | \$6,497,673.00 | \$2,883,953.30 | (\$3,613,719.70) | (55.62%) |
| | | Employee Benefits | \$621,013.94 | \$992,528.36 | \$371,514.42 | 59.82% |
| | Total Compensation Total | | \$7,118,686.94 | \$3,876,481.66 | (\$3,242,205.28) | (45.54%) |
| | Total Non-Compensation | Supplies & Other Services | \$8,700.46 | \$89,615.30 | \$80,914.84 | 930.01% |
| | | Travel | | \$7,026.93 | \$7,026.93 | |
| Recharge Debit | | | \$6,671.36 | \$6,671.36 | | |
| Other Operating Expenses | | (\$5,145.00) | \$15.75 | \$5,160.75 | (100.31%) | |
| Total Non-Compensation Total | | \$3,555.46 | \$103,329.34 | \$99,773.88 | 2,806.22% | |
| Total Uses Total | | | \$7,122,242.40 | \$3,979,811.00 | (\$3,142,431.40) | (44.12%) |
| Net Operating (Surplus)/Deficit | | | (\$0.00) | (\$3,142,431.40) | (\$3,142,431.40) | (77.94%) |

| Account Type | Account Group | Account SubGroup | 2026 Total Budget | 2026 Actuals YTD | 2026 Variance YTD (\$) | 2026 Variance YTD (%) |
|---------------------------------|------------------------|------------------------|---------------------|------------------|------------------------|-----------------------|
| Total Carryforward | Available Carryforward | Available Carryforward | \$292,889.95 | | (\$292,889.95) | (100.00%) |
| Total Carryforward Total | | | \$292,889.95 | | (\$292,889.95) | (100.00%) |
| Changes in Net Position | | | \$292,889.95 | | (\$292,889.95) | (100.00%) |

| Account Type | Account Group | Account SubGroup | 2026 Total Budget | 2026 Actuals YTD | 2026 Variance YTD (\$) | 2026 Variance YTD (%) |
|----------------------------------------|---------------------------|---------------------------|-----------------------|-------------------------|-------------------------|-----------------------|
| Beginning Balance Forward Total | Beginning Balance Forward | Beginning Balance Forward | (\$292,889.95) | (\$292,889.95) | \$0.00 | 0.00% |
| Beginning Balance Forward Total | | | (\$292,889.95) | (\$292,889.95) | \$0.00 | 0.00% |
| Ending Net Position | | | \$0.00 | (\$3,435,321.35) | (\$3,435,321.35) | (124.12%) |

Timeline: What to Expect

Now through end of calendar year:

- Carryforward Process Training - *scheduled for Wed. December 10 at 2pm*
- Reintroduction of Annual Plan concepts to campus constituents in various forums
- Development of training materials
- Small group testing in Annual Plan to confirm functionality
- Refinements to system based on tester feedback

January:

- Training sessions with departments on all aspects of Annual Plan

Late January through May:

- System access with hypercare support

Update on Reconciliation Processes

Sandra Featherson

Payroll and Non-Payroll Reconciliation

- Guide to Payroll Reconciliation
 - QRG - Walkthrough of Reconciliation
 - Training scheduled for December 11, 10am
- Best Practices
 - Reconcile Monthly
 - Signoff - Quarterly
 - Template Sign-off Form
- Next Steps
 - Operating/Sponsored Funds Reconciliation Guidance

Stretch break!

5 minutes

FinHub: Updates & Common Questions

PGM Admin & Faculty/PI Dashboards

Difference Between the PGM Admin & Faculty/PI Dashboards:

- Both dashboards include all sponsored projects and faculty funds from the PGM module
- Dashboards are essentially the same; primary distinction is security and access
 - Faculty/PI Dashboard shows awards/projects where the faculty member is PI or Co-PI
 - Admin Dashboard shows all awards/projects administered within the department
- Role-based access ensures both groups see accurate, relevant portfolios

PGM FinHub Reports vs. Legacy Shadow Systems:

- Data comes directly from the PGM subledger, not from the General Ledger or local shadow systems
- Awards and projects must exist in PGM to appear in the dashboards
- Structure ensures consistent, authoritative data rather than manually maintained local systems

PGM Admin & Faculty/PI Dashboards

UCPath Projections (Payroll Liens) and Payroll Reports:

- EPM Project Forecasting is now live and plays an integral part in these projections
- UCPath Projections are sourced from UCPath Funding Entry data
- Funding End Dates must be entered for projections to appear in FinHub

Data Source and Integrity:

- FinHub reflects information directly from the originating systems (PGM, UCPath, etc.)
- Data is reliable—numbers match the source systems
- Because FinHub merges multiple systems, discrepancies often reveal upstream data issues

PGM Admin & Faculty/PI Dashboards

Reports Coming Soon

- Payroll Reports
 - Payroll Roster
 - Payroll by Award
 - Payroll by Project
- Commitments/ Encumbrance Report
- Reports by Role
 - Project Manager
 - Dean/Chair
 - Award Level Access for Designated users (IE. Program Manager)

FinHub Common Questions

How do I suggest a new report or an enhancement to an existing report?

- Please use the [FinHub ServiceNow Ticket](#)

Where can I see tuition and fee remission expenses?

- These are reflected on the UCPath Payroll Distribution of Benefits Report

Are carryforwards now visible in the reports?

- Yes, for most funds

How do I know which report to use?

- Please view the [FinHub](#) webpage, [toolkit](#) and guides for additional information about the reports

I am struggling with understanding how to read the reports (signs, positive vs. negative balances, etc.)

- The Budget Office is working on a resource for departments - it will be announced in the OFT newsletter when available

FinHub Updates

- 56 reports, 10 dashboards released in FinHub
- 75+ enhancements made to existing reports based on user feedback
- Upcoming releases
 - Accounts Receivable
 - Budget Planning
 - Procurement
 - Travel & Entertainment



| | Dashboard | # of Reports | Released |
|----|--------------------------|--------------|------------|
| 1 | Accounts Payable | 2 | 10/17/2025 |
| 2 | Central Office | 7 | 8/5/2025 |
| 3 | Construction Projects | 6 | 11/10/2025 |
| 4 | Faculty / PI Dashboard | 11 | 10/24/2025 |
| 5 | General Ledger Dashboard | 3 | 9/8/2025 |
| 6 | Operating Financials | 8 | 8/12/2025 |
| 7 | PGM Admin Dashboard | 11 | 9/5/2025 |
| 8 | UCPath Employee | 2 | 7/29/2025 |
| 9 | UCPath Payroll | 4 | 7/29/2025 |
| 10 | Custom | 2 | 10/29/2025 |
| | Total | 56 | |

FinHub Resources

- Login Portal: finhub.ucsb.edu
- Office hours
 - Every Tuesday from 1-2pm
- [FinHub Webpage](#)
 - Training
 - Quick Reference Guides
 - Training Slide Decks
- Google Chat space — [UCSB FinHub Users](#) — has 270+ members
- [ServiceNow - Report Requests and Enhancements](#)
- Call for testing participation

Training Update

Sandra Featherson

New Training - Available Now

- Payroll Reconciliation Guidance
- EPM Project Forecasting webpage and FAQs
- Faculty/PI Dashboard webpage and resources
- PGM Quick Reference Guides
- Transfer of Expense FAQs

New Training - Resources in Progress

- Transaction Reconciliation Guidance
- OFC Guides - Terminology, Big Picture
- Equipment Management Overview (e-learning)
- Project Forecasting - additional QRGs
- Guidance on Reading FinHub Reports

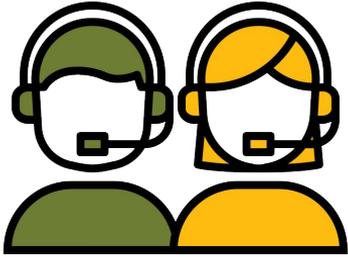
New Training - Upcoming

- Payroll Reconciliation
- Understanding Carryforwards - *Wed. December 10, 2-3 pm*
- Annual Plan - January
- Workforce Planning - January
- Core Financial Principles - Winter/Spring

Next Steps

Sandra Featherson

How do I get help?



Submit a Ticket

financemanagement.ucsb.edu/request-help

Call the Help Desk

- 805-893-5400
- Monday - Friday, 10am-3pm



Attend Office Hours

- **Topics:** CCOA, Concur, EPM (Transfers & Project Forecasting), General Accounting, Procurement, PGM (Sponsored Projects & Faculty Funds, Reporting, TOE)
- **Schedule:** financemanagement.ucsb.edu/support#office-hours

Next Steps

*Next FMM Town Hall in January!
(date TBD)*

Action Items:

- Review new training materials for TOE, Project Forecasting, and the Faculty/PI Dashboard
- Attend office hours if you need assistance with new tools or processes
- Continue to check the [Known Issues Tracker](#)
- [Submit a ticket](#) if you notice an issue or problem

Reminders:

- Ensure you are subscribed to the [FMM mailing list](#) and [Office of Financial Training mailing list](#) for project news and training updates

Q&A

UC SANTA BARBARA