

November 19, 2025

UCSB Financial Management Modernization

Report Update Town Hall

Agenda

- Opening Remarks
- Program Update
- Budgeting Update
- Update on Reconciliation Processes
- FinHub: Updates and Common Questions
- Training: New Resources
- Next Steps
- Q&A

Opening Remarks

Matt Erickson

Program Update

Matt Erickson

FMM Overall Status

Summary

Help Desk: 31 known issues published; 24 resolved to date

Defects: 71 Open Critical or High Bugs

Training: 90% of all required assigned training completed

Cutover: Cutover 99% of cutover tasks are complete

Top Issues

Award Setup Time: Award setup takes longer.

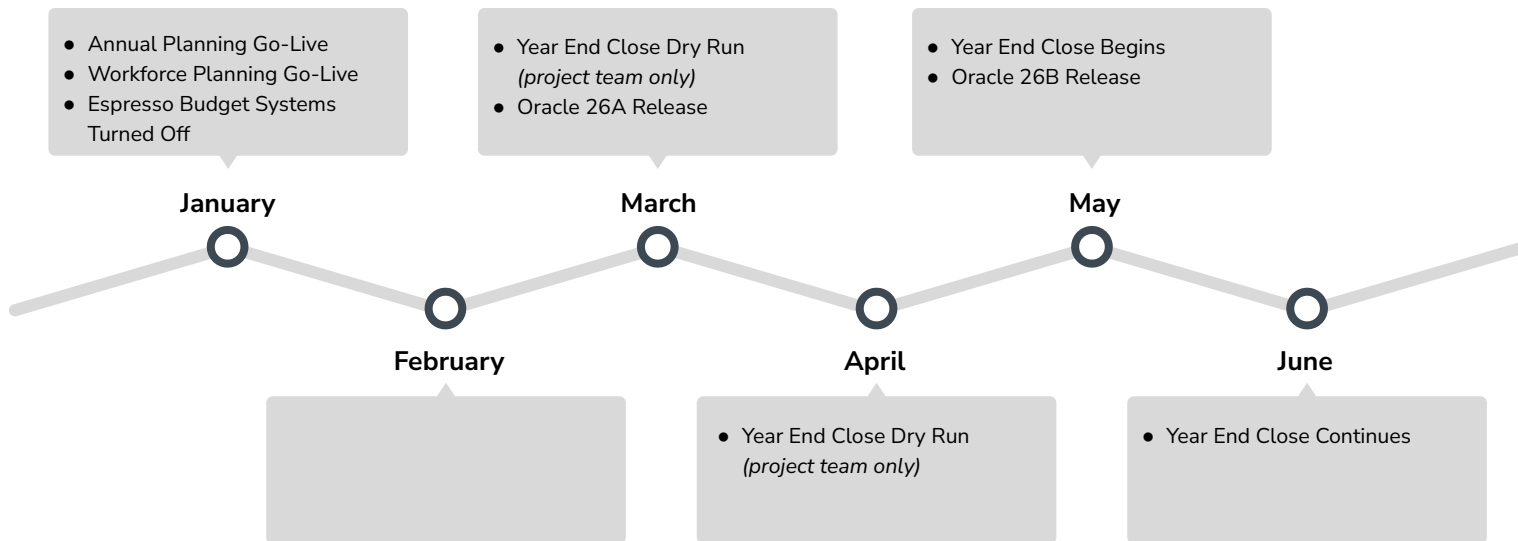
Expenditure Dates/Boundary Systems: Since the new system enforces stricter transaction validations and business rules than prior systems, department processes and systems will need additional updates to ensure timely transaction handling.

Training: Ongoing need for training content as features and reports become available.

Upcoming Milestones

Week	Milestone
December	Account Receivable Launch (FinHub)
December	Budget Planning Launch (FinHub)
TBD	Procurement Launch (FinHub)
TBD	Travel & Entertainment Launch (FinHub)
January 1	Annual Plan Go-Live Budget 2027
January 1	Workforce Planning Go-Live

Major 2026 Milestones



September 2025 Post Go-Live Survey

Your Feedback, Our Commitment

*Survey open from 9/18 - 10/3
116 responses, sent to ~850 users
(13.6% participation)*

Need for:

- Additional training and support
- Additional communication

Feelings of:

- Increased confusion and burnout
- Fragmented and ineffective issue reporting

Action Plans

Training

- FinHub classes, videos, toolkit, FAQs
- Additional resources and guides for OFC and EPM

Communication

- Better use of the FMM Newsletter; targeted communications to faculty, etc.
- Updated Faculty webpage on the FMM website

Action Plans (con.)

Increased Confusion and Burnout

- Create Communities of Practice to build networks of users
- Business Officer Roundtable (December/January)

Fragmented and Ineffective Issue Reporting

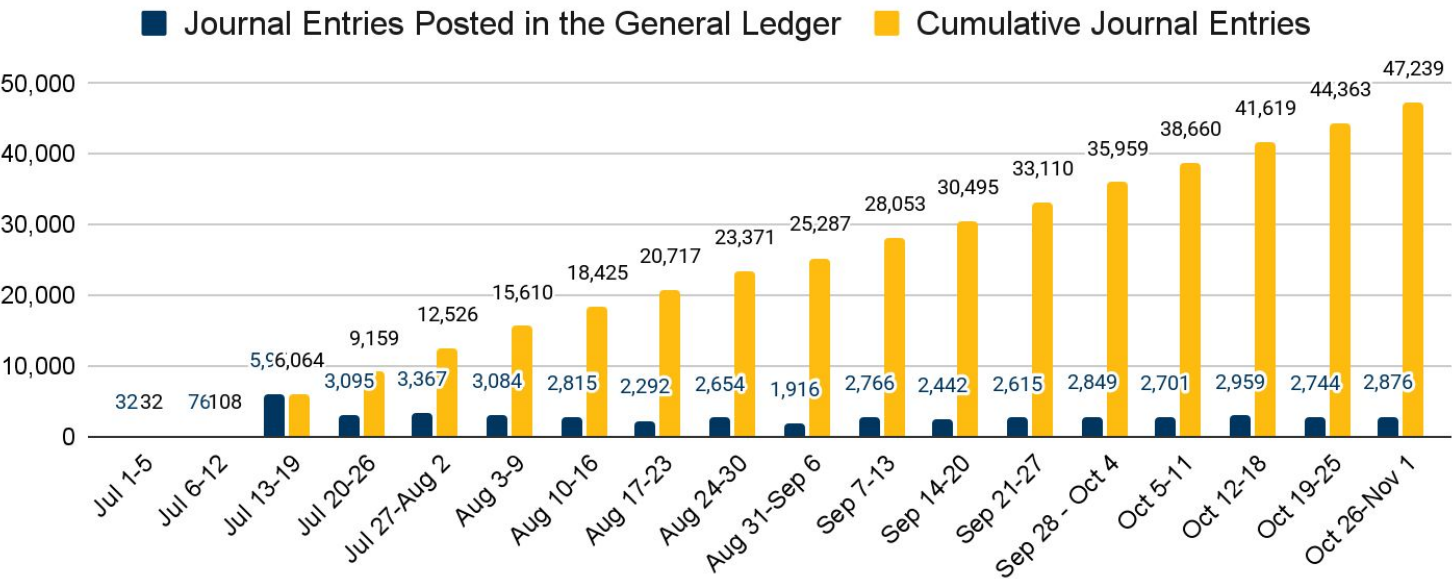
- Additional focus on resolving aging tickets
- Weekly PGM/Gateway meetings to resolve issues

Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Journal Entries Posted in the General Ledger By Week

Status as of 11/1/2025

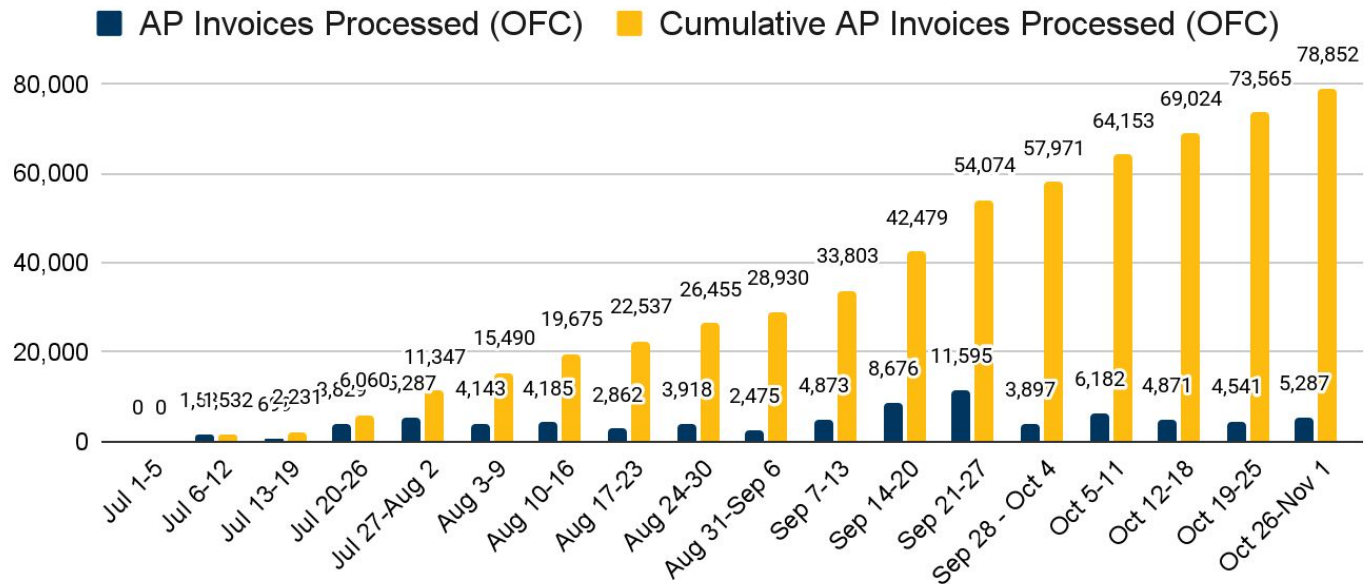


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

AP Invoices Processed in OFC

Status as of 11/1/2025

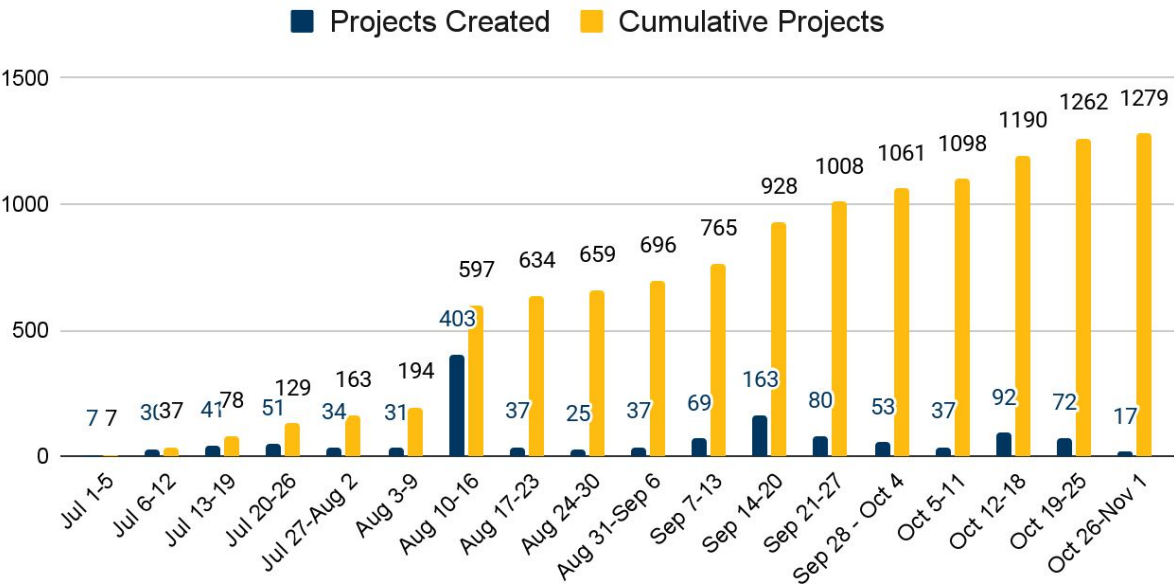


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Projects Created in Oracle Financials Cloud By Week

Status as of 11/1/2025

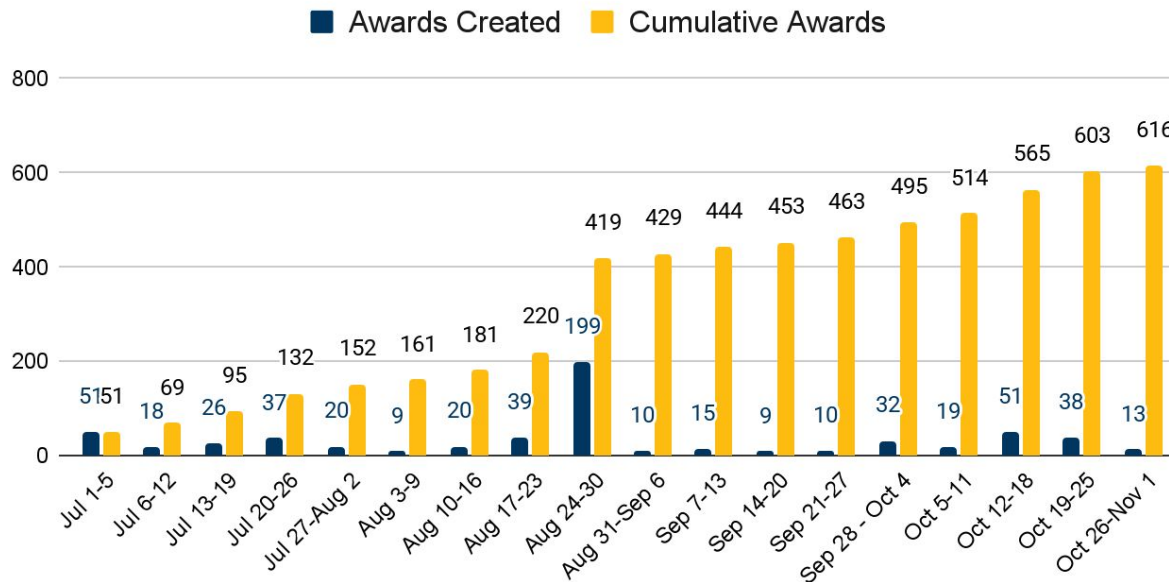


Transaction Volume (July 1 - October 31, 2025)

Oracle Financials Cloud

Awards Created in Oracle Financials Cloud By Week

Status as of 11/1/2025; Oracle PGM data using the created date for the date range

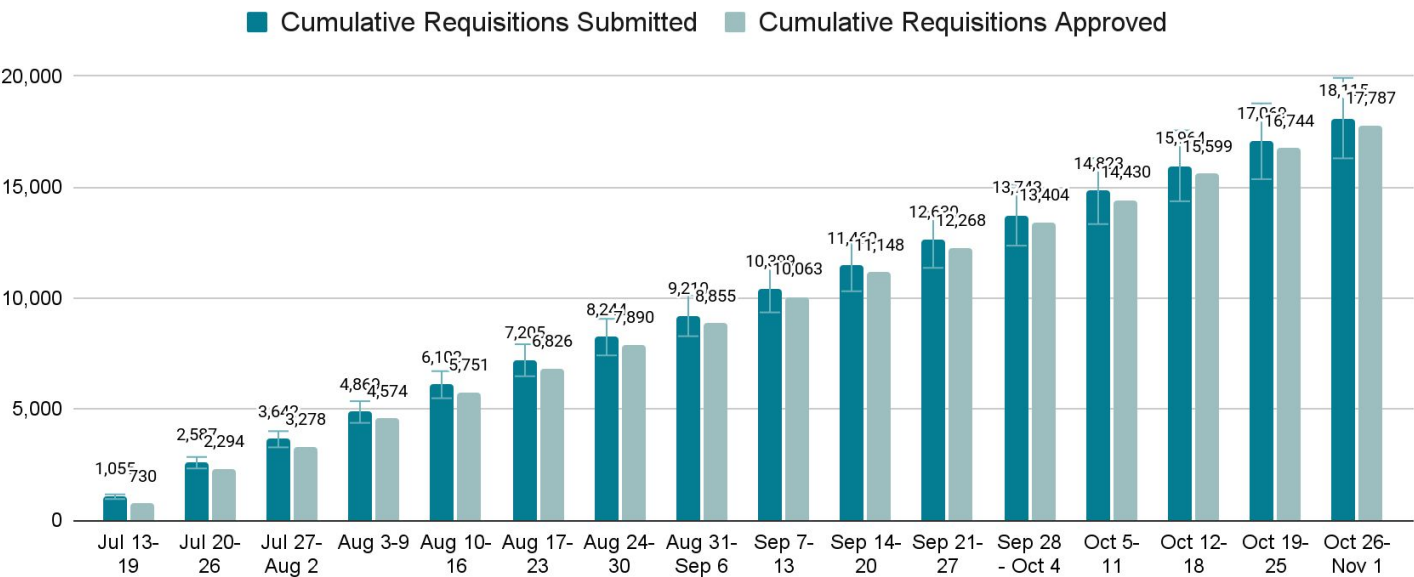


Transaction Volume (July 1 - October 31, 2025)

Gateway

Requisitions in Gateway By Week

Status as of 11/1/2025

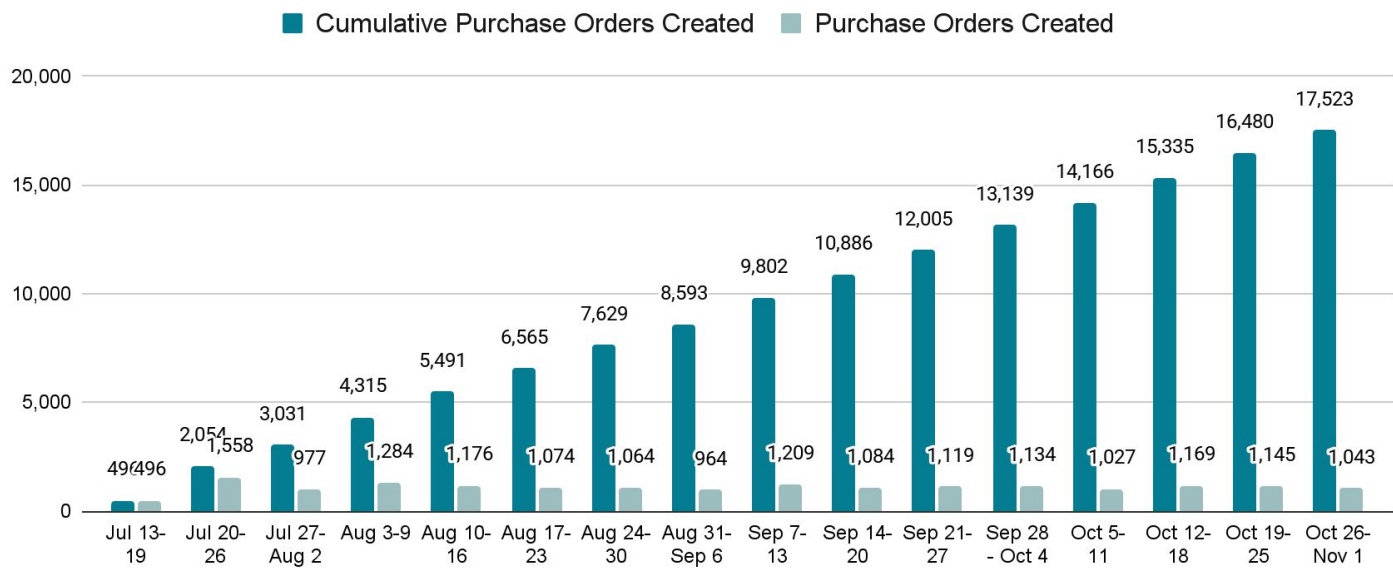


Transaction Volume (July 1 - October 31, 2025)

Gateway

Purchase Orders Created in Gateway By Week

Status as of 11/1/2025

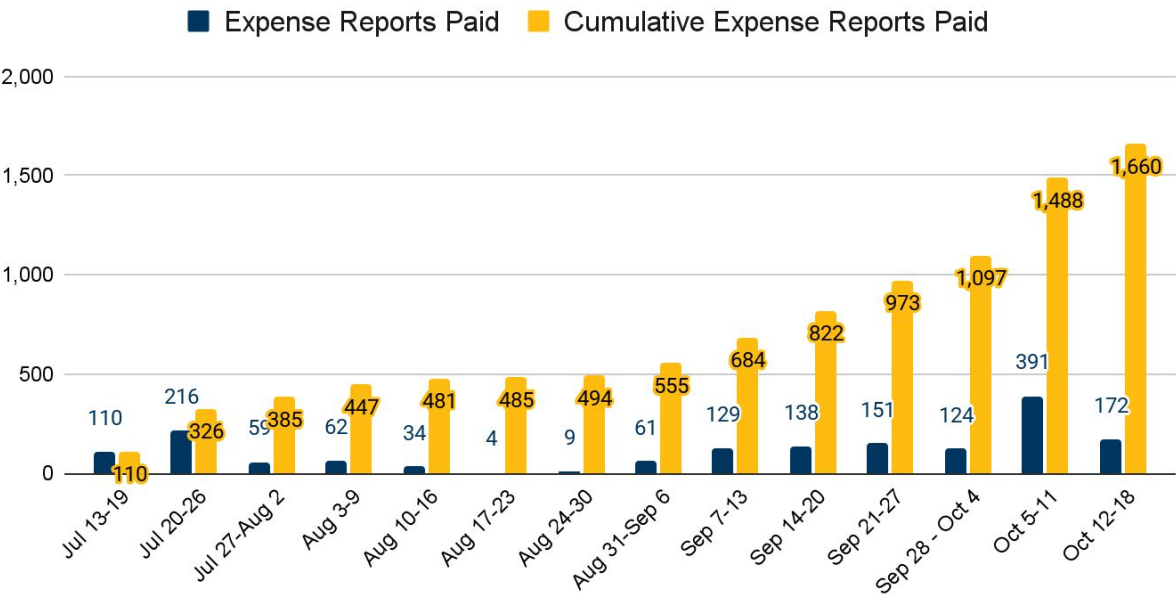


Transaction Volume (July 1 - October 31, 2025)

Concur

Expense Reports in Concur By Week

Status as of 10/20/2025

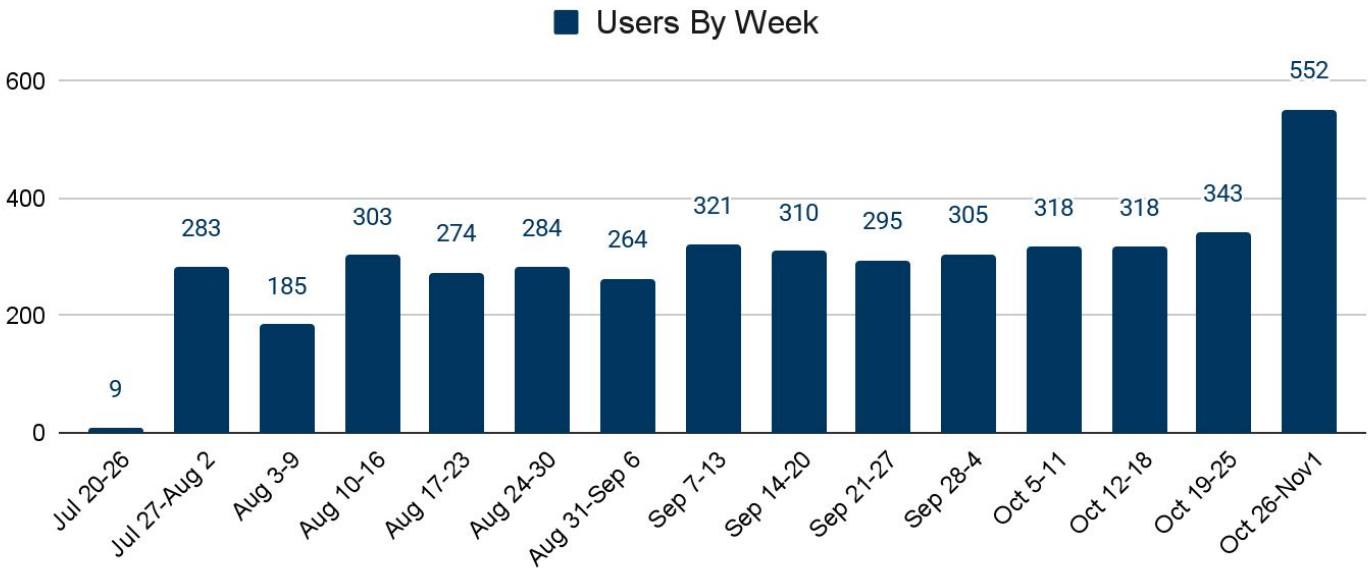


Transaction Volume (July 1 - October 31, 2025)

FinHub

FinHub: Users By Week

Status as of 11/1/2025

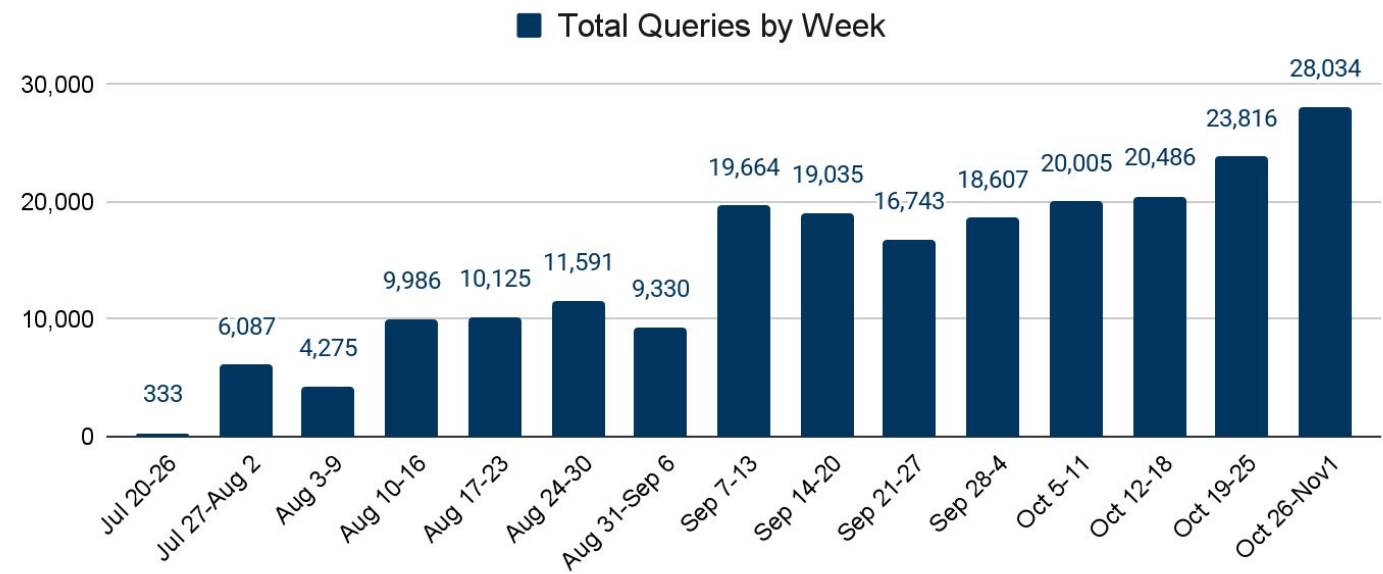


Transaction Volume (July 1 - October 31, 2025)

FinHub

FinHub: Total Queries By Week

Status as of 11/1/2025



Transaction Volume (as of November 14, 2025)

ServiceNow Tickets

Catalog	Open Tickets	Open Tickets >7 days	Closed Since 6/30/25
FMM Universal Request ¹	141	64	986
Security & Controls	44	18	1,210
FinHub	73	35	422
PGM	599	511	712
EPM	22	15	46
Procurement ²	314	215	1,282
Concur ²	371	192	3,632

¹ Includes support tickets, defects, and wish list items that require more time for resolution

² Includes tickets unrelated to OFC implementation

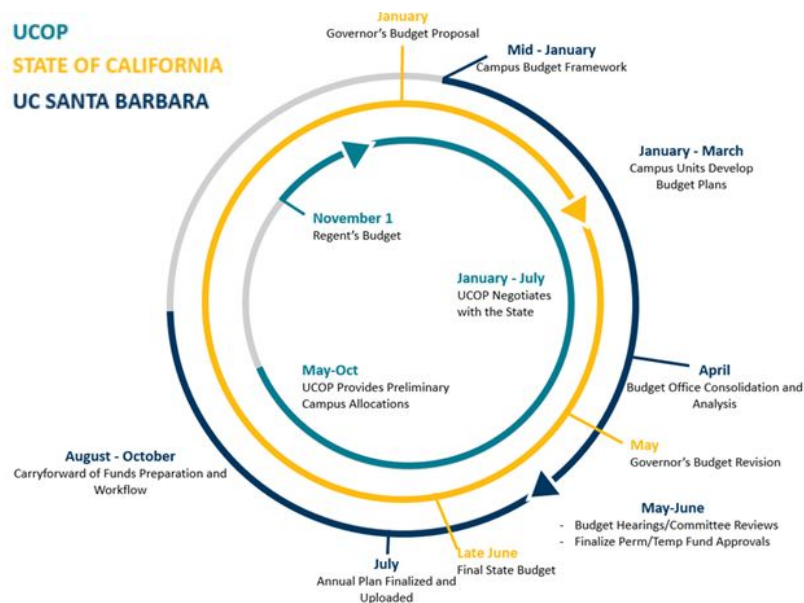
Budgeting Update

Michael McGrogan & Bernice Yeh

Annual Plan

Annual Budget Plan

- An “**Annual Plan**” or **budget cycle** will be introduced in January.
- Annual Plans will **outline a department’s expected use of resources for the upcoming fiscal year**. Establishing an expenditure plan and identifying the resources to back these plans.
- This will be a proactive planning process, as opposed to the current reactive one.
- Plan by position for all employees on all non-sponsored funds (perm & temp).
- Plan for anticipated non-payroll expenses such as travel or services.



General Budget Concept Changes

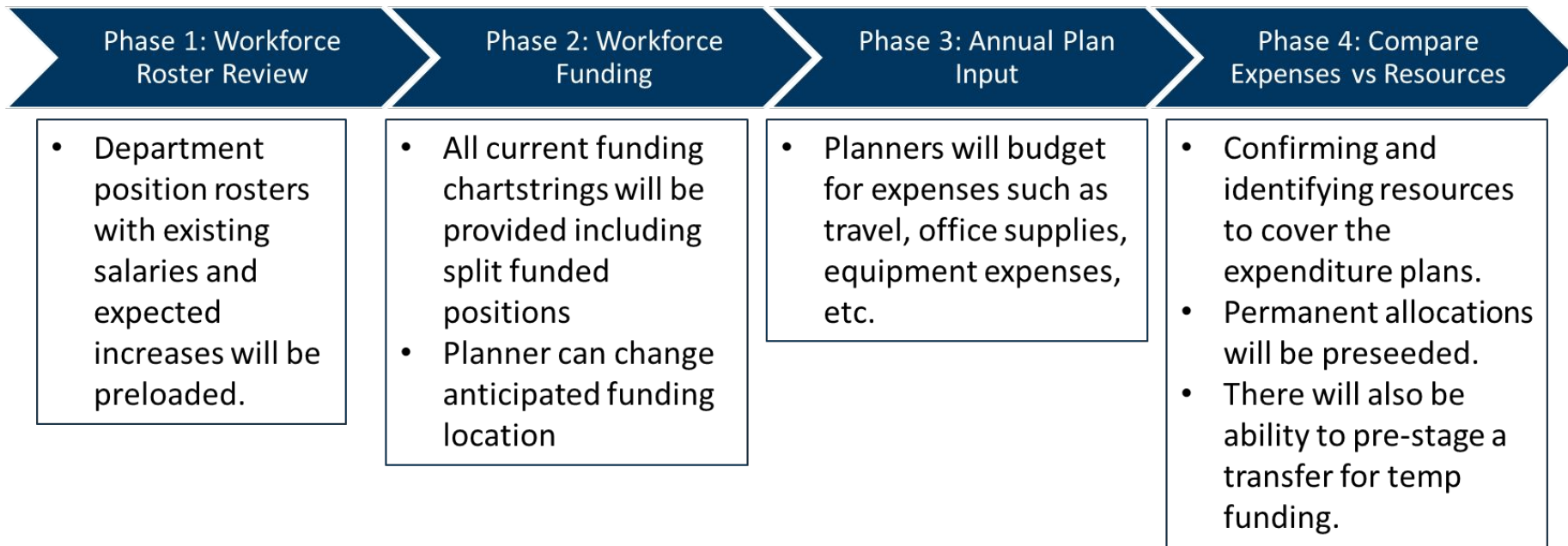
UCSB's planning year will be divided into two major EPM-driven cycles:

1. Workforce & Annual Plan Cycle (January - May) – defines the forward-looking budget for the next fiscal year (FY27)
2. Carryforward of Funds (CFOF) Cycle (September - October) – manages prior-year balances after year-end close

The two processes are separate but linked: Workforce + Annual Plan define the next-year operating plan; CFOF establishes the starting balance position once the year closes.

Annual Budget Plan

Planning process will be structured into **four** phases



Carryforward (August - September)

- **Fiscal Year Close** (June 30) – Actuals finalize and fund balances calculated
- **CFOF Preparation** (Aug) – Balances loaded to Beginning Balance Forward accounts
- **CFOF Workflow** (Sep-Oct) – Departments assign balances to purpose categories and provide justifications
 - Control Points endorse → Budget Office approves → Unassigned balances swept centrally
 - Reports and dashboards published campuswide in October

Summary Insights

UCSB's **Annual Plan** cycle transforms incremental budgeting into a structured, data-driven process:

- Starts with accurate position data (Workforce)
- Builds transparent operating budgets (Annual Plan)
- Ends with controlled reconciliation and proposed use of carryforward (CFOF)

Departments focus on accuracy and justification; Control Points ensure resources balance; Budget Office provides consolidated, reportable insights to leadership.

Carryforwards

Using the Budget v. Actuals Report

Old vs. New Carryforward Model

Becomes a “Below-the-Line” Resource, Not an In-Year Allocation

- **Previously:** Carryforward dollars were automatically added to this year’s spendable authority. Departments could spend both current-year and prior-year funds interchangeably.
- **New Model:** Provides visibility into prior-year sources vs. uses without blending it into this year’s operating budget.
- **Result:** In-year resources reflect only this year’s authorized allocations (Uses) and Resources.

Budget Terms

- **Beginning Balance Forward:** Prior year balance of Sources less Uses.
- **Carryforward:** Amount of Beginning Balance Forward **Available to Spend** in year. This amount can be different from beginning balance.
- When would the Beginning Balance Forward and Carryforward **NOT** be the same?
 - Department did not submit an approved plan for carryforwards
 - Carryforward adjustments by Control Points, etc.

Old vs. New Carryforward Model

Old Model

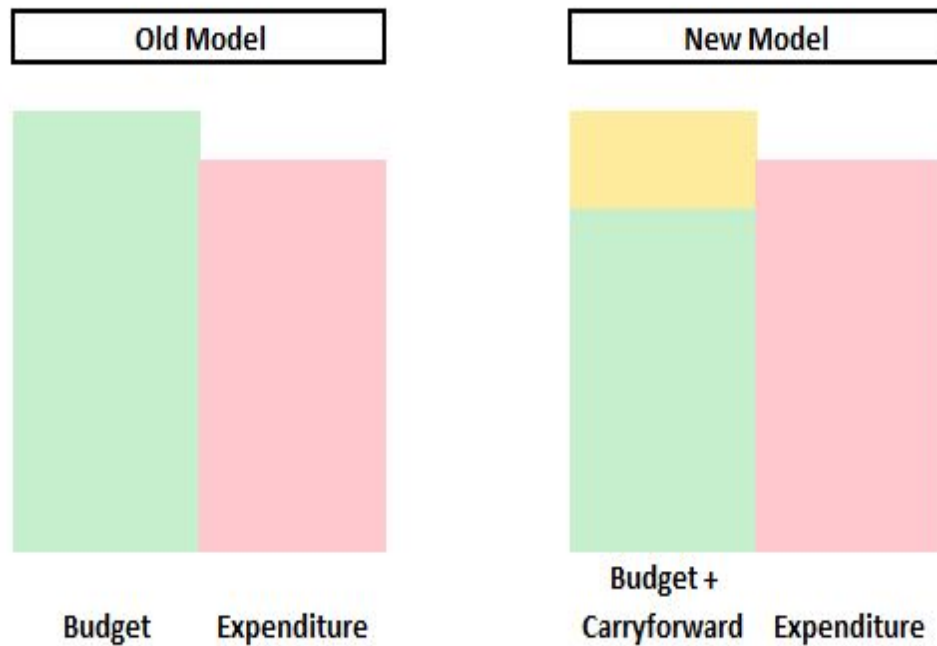
- Carryforward merged with current-year budgets
- Blurred operating and prior-year performance
- Difficult to track multi-year results

New Model

- Carryforward remains in its own account
- Current-year results are cleanly visible
- Carryforward offsets overspend in reporting

Carryforwards: Visualization

- Old model had no way to determine if a department was overspending their current year sources.
- New model distinguishes what portion of resources is in current year budget vs. carried forward from the prior year.
- Expenditures in the new model can exceed the in-year budget. The overspend will be offset by the carryforward.



Old Method: Example

Carryforward (Reappropriation) combined with July 1 Adjusted Budget

LAFS Account Title				Start Date : 10/01/1980 End Date : 12/31/9999 Closed : FDP :				PI(S) : Agency : Award Number :				Return to top Return to Account Overview View / Download View / Download		
Fund Title : STATE GENERAL FUNDS Sub Title : SALARIES - STAFF														
Object Code	Cost Center	Project Code	Cost Type	Trans Date	Description / Additional Information	Ref no	Dept Req No	AP Ref No	Voucher No	TE Code	Appropriation	Expenditure	Lien	R
				20240701	REAPPROPRIATION			- -	01D50	12	20,047.90			
				20240701	JULY 1 ADJ. BUDGET			- -	JULY	11	-786,354.00			
1940				20240706	JUL24 B2 Payroll	240706B2X		- -		53		-7,257.20		
1960				20240706	JUL24 B2 Payroll	240706B2X		- -		53		86.47		
1950				20240731	JUL24 M0 Payroll	240731M0X		- -		53		476.28		
1950				20240720	JUL24 B3 Payroll	240720B3X		- -		53		670.56		
1950				20240706	JUL24 B2 Payroll	240706B2X		- -		53		2,618.00		
1100				20240706	JUL24 B2 Payroll	240706B2X		- -		53		2,908.52		
1100				20240706	JUL24 B2 Payroll	240706B2X		- -		53		9,911.60		
1100				20240720	JUL24 B3 Payroll	240720B3X		- -		53		15,303.04		
1100				20240731	JUL24 M0 Payroll	240731M0X		- -		53		27,122.72		
View Object Code Summary for Sub Account 1 View Summary by Sub for Account											-766,306.10	51,839.99	0.00	
Cumulative Totals for				As of Ledger Date	Cum Appropriation	Cum Expenditure	% Committed	Cum Balance Exc Lien	Total Lien				Cum Balance Inc Lien	
				202407	-766,306.10	51,839.99	6.76%	-714,466.11	0.00				-714,466.11	
Comments for reconciliation of LAFS														

New Method: Example

Carryforward (Reappropriation) not combined with July 1 Budget

GL Budget Drill - Budgetary Control

Run by: mmcrogan

Time run: 11/18/2025 9:43:36 PM

Budget Year	Budget Period	Entity	Account	Account Desc	Fund	Project	Purpose	Program	Activity	Campus Commitment	Transaction Type	Transaction Num	Activity Type	Budget Type	ADJUST_BUDGET	Budget Activity
2026	Oct-2025	1811	773003	Available Carryforward	13007	0000000	72	000	000000	00000	Enterprise Performance Management budget	TEMP_TRXManual12_31102025_184452	Reservation	Temporary	\$309,174.59	\$309,174.59

GL Budget Drill - Budgetary Control

Run by: mmcrogan

Time run: 11/18/2025 9:42:19 PM

Budget Year	Budget Period	Entity	Account	Account Desc	Fund	Project	Purpose	Program	Activity	Campus Commitment	Transaction Type	Transaction Num	Activity Type	Budget Type	INITIALIZE_BUDGET	Budget Activity
2026	Jul-2025	1811	740003	RA-Recurring Central Allocation	19900	0000000	00	000	000000	00000	Enterprise Performance Management budget	Initial_Perm_Budget_02072025_171104	Reservation	Permanent	(\$991,693.00)	(\$991,693.00)

Income Statement Style

“Below the Line” Layout

Category	Example	Treatment
Revenues/Resources	Allocations, Fees, Recharge	Above the Line
Expenses/Uses	Payroll, Operating Costs	Above the Line
Net Operating	(Surplus) / Deficit	—
Carryforward / Beg Bal Forward	Prior-Year Fund Balance	Below the Line
Ending Net Position	Operating + Carryforward	—

Account Type	Account Group	Account SubGroup	2026 Total Budget	2026 Actuals YTD	2026 Variance YTD (\$)	2026 Variance YTD (%)
Total Sources	Total Transfers	Campus Support	(\$7,120,750.94)	(\$7,120,750.94)	\$0.00	0.00%
		Internal Transfers	(\$1,491.46)	(\$1,491.46)	\$0.00	0.00%
	Total Transfers Total		(\$7,122,242.40)	(\$7,122,242.40)	\$0.00	0.00%
Total Sources Total			(\$7,122,242.40)	(\$7,122,242.40)	\$0.00	0.00%
Total Uses	Total Compensation	Salaries & Wages	\$6,497,673.00	\$2,883,953.30	(\$3,613,719.70)	(55.62%)
		Employee Benefits	\$621,013.94	\$992,528.36	\$371,514.42	59.82%
	Total Compensation Total		\$7,118,686.94	\$3,876,481.66	(\$3,242,205.28)	(45.54%)
	Total Non-Compensation	Supplies & Other Services	\$8,700.46	\$89,615.30	\$80,914.84	930.01%
		Travel		\$7,026.93	\$7,026.93	
		Recharge Debit		\$6,671.36	\$6,671.36	
		Other Operating Expenses	(\$5,145.00)	\$15.75	\$5,160.75	(100.31%)
	Total Non-Compensation Total		\$3,555.46	\$103,329.34	\$99,773.88	2,806.22%
Total Uses Total			\$7,122,242.40	\$3,979,811.00	(\$3,142,431.40)	(44.12%)
Net Operating (Surplus)/Deficit			(\$0.00)	(\$3,142,431.40)	(\$3,142,431.40)	(77.94%)

Account Type	Account Group	Account SubGroup	2026 Total Budget	2026 Actuals YTD	2026 Variance YTD (\$)	2026 Variance YTD (%)
Total Carryforward	Available Carryforward	Available Carryforward	\$292,889.95		(\$292,889.95)	(100.00%)
Total Carryforward Total			\$292,889.95		(\$292,889.95)	(100.00%)
Changes in Net Position			\$292,889.95		(\$292,889.95)	(100.00%)

Account Type	Account Group	Account SubGroup	2026 Total Budget	2026 Actuals YTD	2026 Variance YTD (\$)	2026 Variance YTD (%)
Beginning Balance Forward Total	Beginning Balance Forward	Beginning Balance Forward	(\$292,889.95)	(\$292,889.95)	\$0.00	0.00%
Beginning Balance Forward Total			(\$292,889.95)	(\$292,889.95)	\$0.00	0.00%

Ending Net Position			\$0.00	(\$3,435,321.35)	(\$3,435,321.35)	(124.12%)
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Timeline: What to Expect

Now through end of calendar year:

- Carryforward Process Training - *scheduled for Wed. December 10 at 2pm*
- Reintroduction of Annual Plan concepts to campus constituents in various forums
- Development of training materials
- Small group testing in Annual Plan to confirm functionality
- Refinements to system based on tester feedback

January:

- Training sessions with departments on all aspects of Annual Plan

Late January through May:

- System access with hypercare support

Update on Reconciliation Processes

Sandra Featherson

Payroll and Non-Payroll Reconciliation

- Guide to Payroll Reconciliation
 - QRG - Walkthrough of Reconciliation
 - Training scheduled for December 11, 10am
- Best Practices
 - Reconcile Monthly
 - Signoff - Quarterly
 - Template Sign-off Form
- Next Steps
 - Operating/Sponsored Funds Reconciliation Guidance

Stretch break!

5 minutes

FinHub: Updates & Common Questions

PGM Admin & Faculty/PI Dashboards

Difference Between the PGM Admin & Faculty/PI Dashboards:

- Both dashboards include all sponsored projects and faculty funds from the PGM module
- Dashboards are essentially the same; primary distinction is security and access
 - Faculty/PI Dashboard shows awards/projects where the faculty member is PI or Co-PI
 - Admin Dashboard shows all awards/projects administered within the department
- Role-based access ensures both groups see accurate, relevant portfolios

PGM FinHub Reports vs. Legacy Shadow Systems:

- Data comes directly from the PGM subledger, not from the General Ledger or local shadow systems
- Awards and projects must exist in PGM to appear in the dashboards
- Structure ensures consistent, authoritative data rather than manually maintained local systems

PGM Admin & Faculty/PI Dashboards

UCPath Projections (Payroll Liens) and Payroll Reports:

- EPM Project Forecasting is now live and plays an integral part in these projections
- UCPath Projections are sourced from UCPath Funding Entry data
- Funding End Dates must be entered for projections to appear in FinHub

Data Source and Integrity:

- FinHub reflects information directly from the originating systems (PGM, UCPath, etc.)
- Data is reliable—numbers match the source systems
- Because FinHub merges multiple systems, discrepancies often reveal upstream data issues

PGM Admin & Faculty/PI Dashboards

Reports Coming Soon

- Payroll Reports
 - Payroll Roster
 - Payroll by Award
 - Payroll by Project
- Commitments/ Encumbrance Report
- Reports by Role
 - Project Manager
 - Dean/Chair
 - Award Level Access for Designated users (IE. Program Manager)

FinHub Common Questions

How do I suggest a new report or an enhancement to an existing report?

- Please use the [FinHub ServiceNow Ticket](#)

Where can I see tuition and fee remission expenses?

- These are reflected on the UCPath Payroll Distribution of Benefits Report

Are carryforwards now visible in the reports?

- Yes, for most funds

How do I know which report to use?

- Please view the [FinHub](#) webpage, [toolkit](#) and guides for additional information about the reports

I am struggling with understanding how to read the reports (signs, positive vs. negative balances, etc.)

- The Budget Office is working on a resource for departments - it will be announced in the OFT newsletter when available

FinHub Updates

- 56 reports, 10 dashboards released in FinHub
- 75+ enhancements made to existing reports based on user feedback
- Upcoming releases
 - Accounts Receivable
 - Budget Planning
 - Procurement
 - Travel & Entertainment



	Dashboard	# of Reports	Released
1	Accounts Payable	2	10/17/2025
2	Central Office	7	8/5/2025
3	Construction Projects	6	11/10/2025
4	Faculty / PI Dashboard	11	10/24/2025
5	General Ledger Dashboard	3	9/8/2025
6	Operating Financials	8	8/12/2025
7	PGM Admin Dashboard	11	9/5/2025
8	UCPath Employee	2	7/29/2025
9	UCPath Payroll	4	7/29/2025
10	Custom	2	10/29/2025
	Total	56	

FinHub Resources

- Login Portal: finhub.ucsb.edu
- Office hours
 - Every Tuesday from 1-2pm
- [FinHub Webpage](#)
 - Training
 - Quick Reference Guides
 - Training Slide Decks
- Google Chat space — [UCSB FinHub Users](#) — has 270+ members
- [ServiceNow - Report Requests and Enhancements](#)
- Call for testing participation

Training Update

Sandra Featherson

New Training - Available Now

- Payroll Reconciliation Guidance
- EPM Project Forecasting webpage and FAQs
- Faculty/PI Dashboard webpage and resources
- PGM Quick Reference Guides
- Transfer of Expense FAQs

New Training - Resources in Progress

- Transaction Reconciliation Guidance
- OFC Guides - Terminology, Big Picture
- Equipment Management Overview (e-learning)
- Project Forecasting - additional QRGs
- Guidance on Reading FinHub Reports

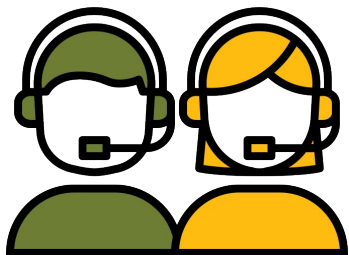
New Training - Upcoming

- Payroll Reconciliation
- Understanding Carryforwards - *Wed. December 10, 2-3 pm*
- Annual Plan - January
- Workforce Planning - January
- Core Financial Principles - Winter/Spring

Next Steps

Sandra Featherson

How do I get help?



Submit a Ticket

financemanagement.ucsb.edu/request-help

Call the Help Desk

- **805-893-5400**
- Monday - Friday, 10am-3pm



Attend Office Hours

- **Topics:** CCOA, Concur, EPM (Transfers & Project Forecasting), General Accounting, Procurement, PGM (Sponsored Projects & Faculty Funds, Reporting, TOE)
- **Schedule:** financemanagement.ucsb.edu/support#office-hours

Next Steps

***Next FMM Town Hall in January!
(date TBD)***

Action Items:

- Review new training materials for TOE, Project Forecasting, and the Faculty/PI Dashboard
- Attend office hours if you need assistance with new tools or processes
- Continue to check the [Known Issues Tracker](#)
- [Submit a ticket](#) if you notice an issue or problem

Reminders:

- Ensure you are subscribed to the [FMM mailing list](#) and [Office of Financial Training mailing list](#) for project news and training updates

Q&A

UC SANTA BARBARA